

July 29, 2002

PATENT APPLICATION  
DOCKET NO. 1855.1017-000 (LKS95-10)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Paul D. Ponath, Douglas J. Ringler, S. Tarren Jones, Walter Newman,  
José Saldanha and Mary M. Bendig

RECEIVED

Application No.: 08/700,737

Group: 1644

AUG 07 2002

Filed: August 15, 1996

Examiner: R. Schwadron

TECH CENTER 1600/200

For: HUMANIZED IMMUNOGLOBULIN REACTIVE WITH  $\alpha 4\beta 7$  INTEGRIN

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202	
on <u>7-29-02</u>	<u>Sandra Jammal</u> Signature
_____ <u>Sandra Jammal</u> Typed or printed name of person signing certificate	

Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

Transmitted herewith is Amendment and Transmittal of Substitute Sequence Listing for filing in  
the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been  
established by a Small Entity Statement previously submitted.
- [ ] A Small Entity Statement to establish small entity status under 37 C.F.R. 1.9 and  
1.27 is enclosed.

The fee has been calculated as shown below:

	(COL. 1) CLAIMS REMAINING AFTER AMENDMENT		(COL. 2) HIGHEST NO. PREVIOUSLY PAID FOR	(COL. 3) PRESENT EXTRA
TOTAL	37	MINUS	* 37	0
INDEP	9	MINUS	** 9	0
<input checked="" type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

\* not fewer than 20

\*\* not fewer than 3

SMALL ENTITY		OTHER THAN SMALL ENTITY
RATE	ADDET. FEE	OR
X \$ 9	\$	
X \$42	\$	
+ \$140	\$	

TOTAL = \$ 0

TOTAL = \$ 0

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [        ] month Extension of Time	\$ _____
<input type="checkbox"/>	Amendment Fee	\$ _____
<input type="checkbox"/>	Other Fees:	\$ _____
		\$ _____
		\$ _____
		TOTAL: \$ <u><u>0</u></u>

A check is enclosed in payment of the following fees:

<input checked="" type="checkbox"/>	Petition for three month Extension of Time	\$ <u><u>920</u></u>
<input type="checkbox"/>	Amendment Fee	\$ _____
<input type="checkbox"/>	Other Fees:	\$ _____
		\$ _____
		\$ _____
		TOTAL: \$ <u><u>920</u></u>

- A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Robert H. Underwood  
 Robert H. Underwood  
 Registration No.: 45,170  
 Telephone (978) 341-0036  
 Facsimile (978) 341-0136

Concord, Massachusetts 01742-9133

Dated: July 29, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paul D. Ponath, Douglas J. Ringler, S. Tarran Jones, Walter Newman,  
José Saldanha and Mary M. Bendig

Application No.: 08/700,737 Group: 1644

Filed: August 15, 1996 Examiner: R. Schwadron

For: HUMANIZED IMMUNOGLOBULIN REACTIVE WITH  $\alpha 4\beta 7$   
INTEGRIN

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on 7-29-02 Sandra J. Anna  
Date Signature

Sandra J. Anna  
Typed or printed name of person signing certificate

Adjustment date: 08/27/2002 GDUCKETT  
01/04/2002 BNGUYEN1 00000125 08700737  
02 FC:131 -740.00 OP  
03 FC:103 -306.00 OP  
04 FC:102 -504.00 OP

**REQUEST FOR REFUND UNDER 37 C.F.R. 1.26**

Assistant Commissioner for Patents  
P.O. Box 2327

Repln. Ref: Arlington CONCAT20010285300  
DA#:080380 Name/Number:08700737  
FC: 704 \$2990.00 CR

Sir:

On November 12, 2001, Applicants mailed to the Patent Office a Reply to Notice to File Missing Parts of Application, Fee Transmittal and associated papers, with a check in the amount of \$3120.00 in payment of the filing and claims fees (\$1550.00), surcharge (\$130.00) and fee for extension of time (\$1440.00). On December 11, 2001, the Examiner indicated that the PTO records did not indicate that the Reply had been received, presumably due to postal delays.

Therefore, copies of the previously mailed documents and a second check in the amount of \$3120.00 in payment of the fees were filed by hand on December 12, 2001. The Patent Office collected these fees as indicated by the enclosed cancelled check (Exhibit A).

On January 2, 2002, the documents and check originally mailed on November 12, 2001 reached the Patent Office. In processing these papers, the Patent Office collected the fees a second time, as indicated by the enclosed cancelled check (Exhibit B).

In view of the processing of the same fees with the duplicate and original papers by the Patent Office, amounting to an erroneous double payment, Applicants' Attorney respectfully requests a refund in the amount of \$3120.00, to be credited to Deposit Account No. 08-0380. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By Helen E. Wendler  
Helen E. Wendler  
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Dated: July 29, 2002

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LEXINGTON, MA 02421

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**EXHIBIT**

A

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13-10-0001  
12-14-2001  
STOP CREDIT TO THE  
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FIRST VP  
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13-10-0001  
01-04-2002  
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